TITLE 710 SECURITIES DIVISION

Notice of Intent to Readopt

LSA Document #12-573

Readopts rules in anticipation of <u>IC 4-22-2.5-2</u>, providing that an administrative rule adopted under <u>IC 4-22-2</u> expires January 1 of the seventh year after the year in which the rule takes effect unless the rule contains an earlier expiration date. Effective 30 days after filing with the Publisher.

OVERVIEW: Rules to be readopted without changes are as follows:

 710 IAC 1-22-5
 Forms

 710 IAC 1-22-6
 Fees

 710 IAC 1-22-8
 Material facts

 710 IAC 1-22-9
 Record maintenance

Requests for any part of this readoption to be separate from this action must be made in writing within 30 days of this publication. Send written comments to the Small Business Regulatory Coordinator for this rule (see LC 4-22-2.

28.1):

Jeffrey Bush Chief Deputy Commissioner Indiana Secretary of State Securities Division Indiana Government Center South 302 West Washington Street, Room E111 Indianapolis, IN 46204 jbush@sos.in.gov (317) 232-6681

Statutory authority: IC 23-2-5-11.

For purposes of <u>IC 4-22-2-28.1</u>, the Small Business Ombudsman designated by <u>IC 5-28-17-5</u> is:

Eric P. Shields
Indiana Economic Development Corporation
One North Capitol, Suite 700
Indianapolis, IN 46204
(317) 234-3997
smallbizombudsman@iedc.in.gov

Resources available to regulated entities through the small business ombudsman include the ombudsman's duties stated in <u>IC 5-28-17-5</u>, specifically <u>IC 5-28-17-5(9)</u>, investigating and attempting to resolve any matter regarding compliance by a small business with a law, rule, or policy administered by a state agency, either as a party to a proceeding or as a mediator.

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